

10/565,11

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Graf, James A. *et al.*

International Application Number

PCT/US04/23932

International Filing Date

23 July 2004

Priority Date

23 July 2003

For:

**EXTRACTING DATA FROM SEMI-
STRUCTURED TEXT DOCUMENTS**

Attorney Docket

94732


**Information Disclosure Statement
Under 37 CFR §1.97(b)**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Forms PTO/SB/08A and B are enclosed. Please examine the application in light of these references.

Sincerely,



Peter Forrest
Registration No. 33,235
Attorney for Applicant

January 23, 2006

GP:1903259 v1

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Complete if Known *

10/565611
01/23/2006

04/28/2006

Graf, James A.

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of

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94732

[illegible][illegible]

Date Considered

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

English language translation is advised.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	40/565611
				Filing Date	01/23/2006
				First Named Inventor	Graf, James A.
				Group Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	94732

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	O	GERDES, John, Jr.; "EDGAR – Analyzer: automating the analysis of corporate data contained in the SEC's EDGAR database;" <i>Decision Support Systems</i> , Vol. 35, Issue 1; April 2003; pgs 7 – 29.	
	P	BERNSTEIN, A.; et al.; "Discovering Knowledge from Relational Data Extracted from Business News" Stern School of Business, New York University; New York, NY; date unknown.	
	Q	LEINEMANN et al., "Automatic Extraction and Analysis of Financial Data from the EDGAR Database," <i>South African Journal of Information Management</i> Vol. 3(2) September 2001, page numbers unknown, available at http://generalupdate.rau.ac.za/infosci/conf/thursday/Leinnemann.htm	

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